



Attorney's Docket No. 42P14583D

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Liu et al.

Application No.: 10/822,302

Filed: April 9, 2004

For: WAVEGUIDE-BASED BRAGG
GRATINGS WITH SPECTRAL
SIDELOBE SUPPRESSION AND
METHOD THEREOF

Examiner: Dong, Dalei

Art Unit: 2879

Mail Stop RCE

Commissioner for Patents

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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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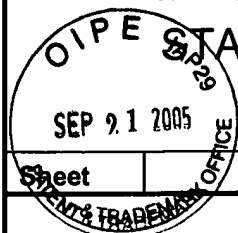
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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)



Complete if Known

Application Number	10/822,302
Filing Date	April 9, 2004
First Named Inventor:	Liu et al.
Art Unit	2879
Examiner Name	Dong, Dalei
Attorney Docket Number	42P14583D

Sheet 1 of 3

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
	1	US-	4,725,110	02-16-1988	Glenn et al.	
	2	US-	4,815,084	03-21-1989	Scifres et al.	
	3	US-	5,159,601	10-27-1992	Huber	
	4	US-	5,212,745	05-18-1993	Miller	
	5	US-	5,237,576	08-17-1993	DiGiovanni et al.	
	6	US-	5,493,113	02-20-1996	Dunphy et al.	
	7	US-	5,511,083	04-23-1996	D'Amato et al.	
	8	US-	5,511,142	04-23-1996	Horie et al.	
	9	US-	5,627,927	05-06-1997	Udd	
	10	US-	5,636,309	06-03-1997	Henry et al.	
	11	US-	5,689,358	11-18-1997	Nakao et al.	
	12	US-	5,781,268	07-14-1998	Liu et al.	
	13	US-	5,915,051	06-22-1999	Damask et al.	
	14	US-	6,141,469	10-31-2000	Kashyap	
	15	US-	6,259,529 B1	07-10-2001	Sorin et al.	
	16	US-	6,266,464 B1	07-24-2001	Day et al.	
	17	US-	6,327,036 B1	12-04-2001	Bao et al.	
	18	US-	6,337,737 B1	01-08-2002	Chang et al.	
	19	US-	2002/0025097 A1	02-28-2002	Cooper et al.	
	20	US-	6,373,872 B2	04-16-2002	Deacon	
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	22	US-	6,411,756 B2	06-25-2002	Sadot et al.	
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	24	US-	2003/0020865 A1	01-30-2003	Hoke	
	25	US-	6,529,649 B1	03-04-2003	Soole	
	26	US-	6,538,783 B1	03-25-2003	Stephens	
	27	US-	6,546,160 B1	04-08-2003	Schunk	
	28	US-	2003/0086155 A1	05-08-2003	Ovadia et al.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>					Complete if Known	
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					Art Unit	2879
Examiner Name	Dong, Dalei					
Sheet	2	of	3	Attorney Docket Number	42P14583D	
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		US-	Number-Kind Code ² (if known)			
	29	US-	2003/0091287 A1	05-15-2003	Lam et al.	
	30	US-	6,674,928 B2	01-06-2004	Johnson et al.	
	31	US-	2004/0033020 A1	02-19-2004	LoCascio et al.	
	32	US-	2004/0052522 A1	03-18-2004	Fishteyn et al.	
	33	US-	6,775,427 B2	08-10-2004	Evans	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

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